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PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1,794.00)

Complete if Known

Application Number			
Filing Date			
First Named Inventor	SHIMEK, JUSTIN		
Examiner Name			
Art Unit			
Attorney Docket No.	6126US		

## METHOD OF PAYMENT (check all that apply)

 Check  Credit Card  Money Order  Other  None Deposit Account:

Deposit Account Number 07-0900

Deposit Account Name General Mills

The Commissioner authorized to: (check all that apply)  
 Charge fees indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fees indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	750
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
<b>SUBTOTAL (1)</b>			

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Fee from Extra Claims below	Fee Paid
78	-20** = 58 x 18	= 1044
Indep. Claims	-3** = x	=
Multiple Dependent		=

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2) \$ 1044</b>		

\*\*or number previously paid, if greater; For Reissues, see above.

Fee Description	Fee	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee
Surcharge – late filing fee or oath	65	1051	130	Surcharge – late provisional filing fee or cover sheet	25	1052	50	Non-English specification	130	1053	130	2,520 For filing a request for ex parte reexamination	1812
Requesting publication of SIR prior to Examination action	920*	1804	920*	Requesting publication of SIR after Examiner action	1,840*	1805	1,840*	Extension for reply within first month	55	1251	110	1,840* Requesting publication of SIR after Examiner action	1451
Extension for reply within second month	205	1252	410	Extension for reply within third month	465	1253	930	Extension for reply within fourth month	725	1254	1,450	Extension for reply within fifth month	1255
Notice of Appeal	160	1401	320	Filing a brief in support of an appeal	160	1402	320	Petition to institute a public use proceeding	1,510	1451	1,510	Petition to revive – unavoidable	1452
Request for oral hearing	140	1403	280	Petition to revive – unintentional	650	1453	1,300	Petition to institute a public use proceeding	1,510	1451	1,510	Utility issue fee (or reissue)	1501
Petitions to the Commissioner	130	1406	130	Design issue fee	235	1502	470	Petitions to the Commissioner	130	1406	130	Plant issue fee	1503
Processing fee under 37 CFR 1.17(q)	50	1807	50	Petitions to the Commissioner	130	1807	50	Submission of Information Disclosure Stmt	180	1806	180	Recording each patent assignment per property (times number of properties)	8021
Filing a submission after final rejection (37 CFR § 1.129(a))	375	1809	750	Recording each patent assignment per property (times number of properties)	40	1809	750	For each additional invention to be examined (37 CFR § 1.129(b))	375	1810	750	Request for Continued Examination (RCE)	1801
Request for expedited examination of a design application	900	1802	900	Request for expedited examination of a design application	375	1802	900	Other fee (specify)		1803	900		

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	John A. O'Toole	Registration No. (Attorney/Agent)	28,336	Telephone 763-764-2422
Signature	John A. O'Toole	Date	7/15/03	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032  
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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>		<b>Attorney Docket No.</b> <b>6126US</b> <b>First Inventor</b> <b>SHIMEK, JUSTIN</b> <b>Title</b> <b>SOFT DRIED MARSHMALLOW AND METHOD OF PREPARATION</b> <b>Express Mail Label No.</b> <b>EU760174100US</b>
<b>APPLICATION ELEMENTS</b> <small>SEE MPEP chapter 600 concerning utility patent application contents.</small>		<b>ADDRESS TO:</b> Mail Stop Patent Application Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)  <small>(Submit an original and a duplicate for fee processing)</small></p> <p>2. <input type="checkbox"/> Applicant claims small entity status.  <small>See 37 CFR 1.27.</small></p> <p>3. <input checked="" type="checkbox"/> Specification <small>(preferred arrangement set forth below)</small> <b>[Total Pages: 29]</b></p> <ul style="list-style-type: none"> <li>-Descriptive title of the invention</li> <li>-Cross Reference to Related Applications</li> <li>-Statement Regarding Fed sponsored R &amp; D</li> <li>-Reference to sequence listing, a table, or a computer program listing appendix</li> <li>-Background of the Invention</li> <li>-Brief Summary of the Invention</li> <li>-Brief Description of the Drawings <i>(if filed)</i></li> <li>-Detailed Description</li> <li>-Claim(s)</li> <li>-Abstract of the Disclosure</li> </ul> <p>4. <input type="checkbox"/> Drawing(s) <i>(35 U.S.C. 113)</i> <b>[Total Pages: _____]</b></p> <p>5. Oath or Declaration <b>[Total Pages: 3]</b></p> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application <i>(37 CFR 1.63 (d))</i>  <small>(for continuation/divisional with Box 18 completed)</small></p> <p>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b>  <small>Signed statement attached deleting inventor(s)          Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</small></p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i></p> <p>8. Nucleotide and/or Amino Acid Sequence Submission  <small>if applicable, all necessary</small></p> <p>a. <input type="checkbox"/> Computer Readable Form <i>(CRF)</i></p> <p>b. Specification Sequence Listing on:       <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R <i>(2 copies)</i>; or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> </p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p>		
<b>ACCOMPANYING APPLICATION PARTS</b> <ul style="list-style-type: none"> <li>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; documents(s))</li> <li>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small></li> <li>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></li> <li>12. <input type="checkbox"/> Information Disclosure Statement <i>(IDS)/PTO-1449</i> <input type="checkbox"/> Copies of IDS Citations</li> <li>13. <input type="checkbox"/> Preliminary Amendment</li> <li>14. <input checked="" type="checkbox"/> Return Receipt Postcard <i>(MPEP 503)</i>  <small>(Should be specifically itemized)</small></li> <li>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></li> <li>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 <i>(b)(2)(B)(i)</i>. Applicant must attach form PTO/SB/35 or its equivalent.</li> <li>17. <input type="checkbox"/> Other: _____</li> </ul>		

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)    of prior application No.:

Prior application information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

<b>19. CORRESPONDENCE ADDRESS</b>																																													
<input checked="" type="checkbox"/> Customer Number or Bar Code Label <span style="border: 1px solid black; display: inline-block; width: 150px; height: 20px; vertical-align: middle;"></span> <b>30173</b> <small>(Insert Customer No. or Attach bar code label here)</small>																																													
<input type="checkbox"/> Correspondence address below																																													
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25%; padding: 2px;">Name</td> <td colspan="3" style="width: 75%; padding: 2px;">GENERAL MILLS, INC. PATENT TRADEMARK OFFICE</td> </tr> <tr> <td colspan="2" style="padding: 2px;">Number One General Mills Blvd.</td> <td colspan="2"></td> </tr> <tr> <td colspan="2" style="padding: 2px;">Address</td> <td colspan="2" style="padding: 2px;">PO Box 1113</td> </tr> <tr> <td colspan="2" style="padding: 2px;">City</td> <td style="width: 25%; padding: 2px;">State</td> <td style="width: 25%; padding: 2px;">MN</td> </tr> <tr> <td colspan="2" style="padding: 2px;">Country</td> <td style="width: 25%; padding: 2px;">Zip Code</td> <td style="width: 25%; padding: 2px;">55440</td> </tr> <tr> <td colspan="2" style="padding: 2px;">Name</td> <td colspan="2" style="padding: 2px;">Telephone</td> </tr> <tr> <td colspan="2" style="padding: 2px;">Signature</td> <td colspan="2" style="padding: 2px;">763-764-2422</td> </tr> <tr> <td colspan="2" style="padding: 2px;">John A. O'Toole</td> <td colspan="2" style="padding: 2px;">Registration No. (Attorney/Agent)</td> </tr> <tr> <td colspan="2" style="padding: 2px;">John A. O'Toole</td> <td colspan="2" style="padding: 2px;">28,336</td> </tr> <tr> <td colspan="2" style="padding: 2px;">Signature</td> <td colspan="2" style="padding: 2px;">Date</td> </tr> <tr> <td colspan="2" style="padding: 2px;">John A. O'Toole</td> <td colspan="2" style="padding: 2px;">7/15/03</td> </tr> </table>		Name	GENERAL MILLS, INC. PATENT TRADEMARK OFFICE			Number One General Mills Blvd.				Address		PO Box 1113		City		State	MN	Country		Zip Code	55440	Name		Telephone		Signature		763-764-2422		John A. O'Toole		Registration No. (Attorney/Agent)		John A. O'Toole		28,336		Signature		Date		John A. O'Toole		7/15/03	
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